

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

FTX TRADING LTD., *et al.*,<sup>1</sup>

Debtors.

Chapter 11

Case No. 22-11068 (JTD)

(Jointly Administered)

**SUPPLEMENTAL AFFIDAVIT OF SERVICE**

I, Paul Pullo, depose and say that I am employed by Kroll Restructuring Administration LLC (“***Kroll***”), the claims and noticing agent for the Debtors in the above-captioned chapter 11 cases.

On September 6, 2023, at my direction and under my supervision, employees of Kroll caused the following documents to be served via First Class Mail on Avinash Dabir (ADRID: 10277837), whose address has been redacted in the interest of privacy:

- Notice of Deadlines Requiring Filing of (I) Non-Customer Proofs of Claim and Proofs of Interest on or before June 30, 2023, (II) Proofs of Claims for Claims Held by Governmental Units on or before September 29, 2023, (III) Non-Customer Proofs of Claim Affected by the Rejection of an Executory Contract or Lease and (IV) Non-Customer Proofs of Claim Affected by the Amendment of or Supplement to the Debtors' Schedules of Assets and Liabilities and Statements of Financial Affairs [Docket No. 1521]
- Non-Customer Proof of Interest Form, a blank copy of which is attached hereto as **Exhibit A**

On September 12, 2023, at my direction and under my supervision, employees of Kroll caused the following document to be served via First Class Mail on the Supplemental Vendor Notice Parties Service List attached hereto as **Exhibit B**:

- Legal Hold Notice of Preservation of Records, a copy of which is attached hereto as **Exhibit C**.

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<sup>1</sup> The last four digits of FTX Trading Ltd.’s and Alameda Research LLC’s tax identification number are 3288 and 4063 respectively. Due to the large number of debtor entities in these Chapter 11 Cases, a complete list of the Debtors and the last four digits of their federal tax identification numbers is not provided herein. A complete list of such information may be obtained on the website of the Debtors’ claims and noticing agent at <https://cases.ra.kroll.com/FTX>.

On September 13, at my direction and under my supervision, employees of Kroll caused the following documents to be served via First Class Mail on Colormatics LLC (ADRID: 18163643), 823 W 2nd Avenue, Spokane, WA, 99201-4402:

- Motion of Debtors for Entry of an Order Dismissing the Chapter 11 Case of FTX Exchange FZE [Docket No. 2113]
- Declaration of Edgar W. Mosley II in Support of Motion of Debtors for Entry of an Order Dismissing the Chapter 11 Case of FTX Exchange FZE [Docket No. 2114]

Dated: September 21, 2023

/s/ Paul Pullo  
Paul Pullo

State of New York  
County of New York

Subscribed and sworn (or affirmed) to me on September 21, 2023, by Paul Pullo, proved to me on the bases of satisfactory evidence to be the person who executed this affidavit.

/s/ HERBERT BAER

Notary Public, State of New York  
No BA6205563  
Qualified in Westchester County  
Commission Expires May 11, 2025

**Exhibit A**

UNITED STATES BANKRUPTCY COURT  
DISTRICT OF DELAWARE

## PROOF OF INTEREST

Fill in this information to identify the case (Select only one Debtor per claim form):

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> FTX Trading Ltd.<br>(Case No. 22-11068)             | <input type="checkbox"/> Cedar Grove Technology<br>Services, Ltd. (Case No. 22-11162) | <input type="checkbox"/> Hilltop Technology<br>Services LLC<br>(Case No. 22-11176) |
| <input type="checkbox"/> Alameda Research LLC<br>(Case No. 22-11066)         | <input type="checkbox"/> Clifton Bay Investments LLC<br>(Case No. 22-11070)           | <input type="checkbox"/> Paper Bird Inc<br>(Case No. 22-11089)                     |
| <input type="checkbox"/> Alameda Research Yankari<br>Ltd (Case No. 22-11108) | <input type="checkbox"/> Deck Technologies Holdings<br>LLC (Case No. 22-11138)        | <input type="checkbox"/> West Realm Shires Inc.<br>(Case No. 22-11183)             |
| <input type="checkbox"/> Blockfolio, Inc.<br>(Case No. 22-11110)             | <input type="checkbox"/> FTX Zuma Ltd<br>(Case No. 22-11124)                          |  |

1. **Name of holder of the Equity Security Interest** (The person or entity holding an Equity Security Interest in the Debtor. Referred to hereinafter as the "Interest Holder"):

☐ Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.

Name and address where notices should be sent:

☐ Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.

Telephone Number

☐ Check box if this address differs from the address on the envelope sent to you by the Debtors.

Email Address

THIS SPACE IS FOR COURT USE ONLY

**NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Security Interest in the Debtor. An Equity Security Interest is any right arising from any capital stock and any equity security in any of the Debtors. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subparagraph (a) or (b) above.**

Account or other number by which Interest Holder identifies Debtor:

Check here if this Proof of Interest:

☐ Replaces a previously filed Proof of Interest Dated:

☐ Amends a previously filed Proof of Interest Dated:

2. **Date Equity Security Interest was acquired:**

3. **Total Amount Paid for Equity Interest:**

4. **Certificate number(s):**

5. **Type of Equity Interest:**

Please indicate the type of Equity Interest you hold:

6. **Supporting Documents:** *Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain.*

7. **Signature:**

THIS SPACE FOR COURT  
USE ONLY

**I declare under penalty of perjury that the information provided in this proof of interest is true and correct to the best of my knowledge, information and reasonable belief.**

DATE

**SIGN** and print the name and title, if any, of the Interest Holder or other person authorized to file this proof of interest (attach copy of power of attorney, if any):



**Equity Interests**

You are receiving this notice as you have been identified as a potential equity interest holder in the Debtor(s) provided in the below table.

If you agree with the equity interests as listed below, then you do not need to file a proof of interest.

If you disagree with the equity interests as listed below, then you are required to file a proof of interest and provide the necessary information as provided in the attached Notice.

Name	Entity	Security Class	Share Type	Number or Percentage of Securities



**Check the box to identify the Debtor against whom you assert a claim (select only one Debtor per claim form):**

<input type="checkbox"/> FTX Trading Ltd. (Case No. 22-11068)	<input type="checkbox"/> Alameda Aus Pty Ltd (Case No. 22-11104)	<input type="checkbox"/> Alameda Global Services Ltd. (Case No. 22-11134)	<input type="checkbox"/> Alameda Research (Bahamas) Ltd (Case No. 22-11105)
<input type="checkbox"/> Alameda Research Holdings Inc. (Case No. 22-11069)	<input type="checkbox"/> Alameda Research KK (Case No. 22-11106)	<input type="checkbox"/> Alameda Research LLC (Case No. 22-11066)	<input type="checkbox"/> Alameda Research Ltd (Case No. 22-11067)
<input type="checkbox"/> Alameda Research Pte Ltd (Case No. 22-11107)	<input type="checkbox"/> Alameda Research Yankari Ltd (Case No. 22-11108)	<input type="checkbox"/> Alameda TR Ltd (Case No. 22-11078)	<input type="checkbox"/> Alameda TR Systems S. de R. L. (Case No. 22-11109)
<input type="checkbox"/> Allston Way Ltd (Case No. 22-11079)	<input type="checkbox"/> Analisya Pte Ltd (Case No. 22-11080)	<input type="checkbox"/> Atlantis Technology Ltd. (Case No. 22-11081)	<input type="checkbox"/> Bancroft Way Ltd (Case No. 22-11082)
<input type="checkbox"/> Blockfolio, Inc. (Case No. 22-11110)	<input type="checkbox"/> Blue Ridge Ltd (Case No. 22-11083)	<input type="checkbox"/> Cardinal Ventures Ltd (Case No. 22-11084)	<input type="checkbox"/> Cedar Bay Ltd (Case No. 22-11085)
<input type="checkbox"/> Cedar Grove Technology Services, Ltd. (Case No. 22-11162)	<input type="checkbox"/> Clifton Bay Investments LLC (Case No. 22-11070)	<input type="checkbox"/> Clifton Bay Investments Ltd (Case No. 22-11111)	<input type="checkbox"/> Cottonwood Grove Ltd (Case No. 22-11112)
<input type="checkbox"/> Cottonwood Technologies Ltd (Case No. 22-11136)	<input type="checkbox"/> Crypto Bahamas LLC (Case No. 22-11113)	<input type="checkbox"/> DAAG Trading, DMCC (Case No. 22-11163)	<input type="checkbox"/> Deck Technologies Holdings LLC (Case No. 22-11138)
<input type="checkbox"/> Deck Technologies Inc. (Case No. 22-11139)	<input type="checkbox"/> Deep Creek Ltd (Case No. 22-11114)	<input type="checkbox"/> Digital Custody Inc. (Case No. 22-11115)	<input type="checkbox"/> Euclid Way Ltd (Case No. 22-11141)
<input type="checkbox"/> FTX (Gibraltar) Ltd (Case No. 22-11116)	<input type="checkbox"/> FTX Canada Inc (Case No. 22-11117)	<input type="checkbox"/> FTX Certificates GmbH (Case No. 22-11164)	<input type="checkbox"/> FTX Crypto Services Ltd. (Case No. 22-11165)
<input type="checkbox"/> FTX Digital Assets LLC (Case No. 22-11143)	<input type="checkbox"/> FTX Digital Holdings (Singapore) Pte Ltd (Case No. 22-11118)	<input type="checkbox"/> FTX EMEA Ltd. (Case No. 22-11145)	<input type="checkbox"/> FTX Equity Record Holdings Ltd (Case No. 22-11099)
<input type="checkbox"/> FTX EU Ltd. (Case No. 22-11166)	<input type="checkbox"/> FTX Europe AG (Case No. 22-11075)	<input type="checkbox"/> FTX Exchange FZE (Case No. 22-11100)	<input type="checkbox"/> FTX Hong Kong Ltd (Case No. 22-11101)
<input type="checkbox"/> FTX Japan Holdings K.K. (Case No. 22-11074)	<input type="checkbox"/> FTX Japan K.K. (Case No. 22-11102)	<input type="checkbox"/> FTX Japan Services KK (Case No. 22-11103)	<input type="checkbox"/> FTX Lend Inc. (Case No. 22-11167)
<input type="checkbox"/> FTX Marketplace, Inc. (Case No. 22-11168)	<input type="checkbox"/> FTX Products (Singapore) Pte Ltd (Case No. 22-11119)	<input type="checkbox"/> FTX Property Holdings Ltd (Case No. 22-11076)	<input type="checkbox"/> FTX Services Solutions Ltd. (Case No. 22-11120)
<input type="checkbox"/> FTX Structured Products AG (Case No. 22-11122)	<input type="checkbox"/> FTX Switzerland GmbH (Case No. 22-11169)	<input type="checkbox"/> FTX Trading GmbH (Case No. 22-11123)	<input type="checkbox"/> FTX US Services, Inc. (Case No. 22-11171)
<input type="checkbox"/> FTX US Trading, Inc. (Case No. 22-11149)	<input type="checkbox"/> FTX Ventures Ltd. (Case No. 22-11172)	<input type="checkbox"/> FTX Zuma Ltd (Case No. 22-11124)	<input type="checkbox"/> GG Trading Terminal Ltd (Case No. 22-11173)
<input type="checkbox"/> Global Compass Dynamics Ltd. (Case No. 22-11125)	<input type="checkbox"/> Good Luck Games, LLC (Case No. 22-11174)	<input type="checkbox"/> Goodman Investments Ltd. (Case No. 22-11126)	<input type="checkbox"/> Hannam Group Inc (Case No. 22-11175)
<input type="checkbox"/> Hawaii Digital Assets Inc. (Case No. 22-11127)	<input type="checkbox"/> Hilltop Technology Services LLC (Case No. 22-11176)	<input type="checkbox"/> Hive Empire Trading Pty Ltd (Case No. 22-11150)	<input type="checkbox"/> Innovatia Ltd (Case No. 22-11128)
<input type="checkbox"/> Island Bay Ventures Inc (Case No. 22-11129)	<input type="checkbox"/> Killarney Lake Investments Ltd (Case No. 22-11131)	<input type="checkbox"/> Ledger Holdings Inc. (Case No. 22-11073)	<input type="checkbox"/> LedgerPrime Bitcoin Yield Enhancement Fund, LLC (Case No. 22-11177)
<input type="checkbox"/> LedgerPrime Bitcoin Yield Enhancement Master Fund, LP (Case No. 22-11155)	<input type="checkbox"/> LedgerPrime Digital Asset Opportunities Fund, LLC (Case No. 22-11156)	<input type="checkbox"/> LedgerPrime Digital Asset Opportunities Master Fund LP (Case No. 22-11157)	<input type="checkbox"/> LedgerPrime LLC (Case No. 22-11158)
<input type="checkbox"/> LedgerPrime Ventures, LP (Case No. 22-11159)	<input type="checkbox"/> Liquid Financial USA Inc. (Case No. 22-11151)	<input type="checkbox"/> Liquid Securities Singapore Pte Ltd (Case No. 22-11086)	<input type="checkbox"/> LiquidEX LLC (Case No. 22-11152)
<input type="checkbox"/> LT Baskets Ltd. (Case No. 22-11077)	<input type="checkbox"/> Maclaurin Investments Ltd. (Case No. 22-11087)	<input type="checkbox"/> Mangrove Cay Ltd (Case No. 22-11088)	<input type="checkbox"/> North Dimension Inc (Case No. 22-11153)
<input type="checkbox"/> North Dimension Ltd (Case No. 22-11160)	<input type="checkbox"/> North Wireless Dimension Inc. (Case No. 22-11154)	<input type="checkbox"/> Paper Bird Inc (Case No. 22-11089)	<input type="checkbox"/> Pioneer Street Inc. (Case No. 22-11090)
<input type="checkbox"/> Quoine India Pte Ltd (Case No. 22-11091)	<input type="checkbox"/> Quoine Pte Ltd (Case No. 22-11161)	<input type="checkbox"/> Quoine Vietnam Co. Ltd (Case No. 22-11092)	<input type="checkbox"/> Strategy Ark Collective Ltd. (Case No. 22-11094)
<input type="checkbox"/> Technology Services Bahamas Limited (Case No. 22-11095)	<input type="checkbox"/> Verdant Canyon Capital LLC (Case No. 22-11096)	<input type="checkbox"/> West Innovative Barista Ltd. (Case No. 22-11097)	<input type="checkbox"/> West Realm Shires Financial Services Inc. (Case No. 22-11072)
<input type="checkbox"/> West Realm Shires Inc. (Case No. 22-11183)	<input type="checkbox"/> West Realm Shires Services Inc. (Case No. 22-11071)	<input type="checkbox"/> Western Concord Enterprises Ltd. (Case No. 22-11098)	<input type="checkbox"/> Zubr Exchange Ltd (Case No. 22-11132)



# Modified Form 410

## Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense, other than a claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9). Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

This claim form should not be used to assert claims against Emergent Fidelity Technologies Ltd.

Fill in all the information about the claim as of November 14, 2022 for Debtor West Realm Shires Inc. and as of November 11, 2022 for all other Debtors.

### Part 1: Identify the Claim

1. Who is the current creditor?

Name of the current creditor (the person or entity to be paid for this claim) \_\_\_\_\_

Other names the creditor used with the debtor \_\_\_\_\_

Email(s) the creditor used with the debtor \_\_\_\_\_

2. Has this claim been acquired from someone else?

☐ No

☐ Yes. From whom? \_\_\_\_\_

3. Where should notices and payments to the creditor be sent?

Where should notices to the creditor be sent?

Where should payments to the creditor be sent? (if different)

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Contact phone \_\_\_\_\_

Contact email \_\_\_\_\_

Contact phone \_\_\_\_\_

Contact email \_\_\_\_\_

4. Does this claim amend one already filed?

☐ No

☐ Yes. Claim number on court claims registry (if known) \_\_\_\_\_

Filed on MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim?

☐ No

☐ Yes. Who made the earlier filing? \_\_\_\_\_

### Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?

☐ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: \_\_\_\_\_

If filing a claim for cryptocurrency, please fill in 7b.

Does this amount include interest or other charges?

☐ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

7a. How much is the claim?

\$ \_\_\_\_\_

If asserted liability is in a currency other than U.S. dollars or cryptocurrency, provide (i) the currency type \_\_\_\_\_; (ii) the amount in such currency \_\_\_\_\_; and (iii) a conversion rate to U.S. dollars \_\_\_\_\_.

7b. List the number of each type and quantity of each coin owed as of the date the case was filed (November 11, 2022)

Coin List	Count	Coin List	Count

**8. What is the basis of the claim?**

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information.

**9. Is all or part of the claim secured?**

- ☐ No  
☐ Yes. The claim is secured by a lien on property.

**Nature of property:**

- ☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.  
☐ Motor vehicle  
☐ Other. Describe: \_\_\_\_\_

**Basis for perfection:** \_\_\_\_\_

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

**Value of property:** \$ \_\_\_\_\_

**Amount of the claim that is secured:** \$ \_\_\_\_\_

**Amount of the claim that is unsecured:** \$ \_\_\_\_\_ (The sum of the secured and unsecured amounts should match the amount in line 7.)

**Amount necessary to cure any default as of the date of the petition:** \$ \_\_\_\_\_

**Annual Interest Rate** (when case was filed) \_\_\_\_\_ %

- ☐ Fixed  
☐ Variable

**10. Is this claim based on a lease?**

- ☐ No  
☐ Yes. **Amount necessary to cure any default as of the date of the petition.** \$ \_\_\_\_\_

**11. Is this claim subject to a right of setoff?**

- ☐ No  
☐ Yes. Identify the property: \_\_\_\_\_

**12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?**

- ☐ No  
☐ Yes. *Check one:*

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

- |  | Amount entitled to priority |
|--|-----------------------------|
| <input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).   | \$ _____                    |
| <input type="checkbox"/> Up to \$3,350 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).  | \$ _____                    |
| <input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). | \$ _____                    |
| <input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).   | \$ _____                    |
| <input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).   | \$ _____                    |
| <input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)( ) that applies.  | \$ _____                    |

**13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9)?**

- ☐ No  
☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case(s), in which the goods have been sold to the debtor in the ordinary course of such debtor's business. If claim is for both goods and services, provide your total claim amount (goods & services) in section 7a. and the value of the goods here. Attach documentation supporting such claim. See the instructions below on what further information is required. \$ \_\_\_\_\_

**Part 3: Sign Below**

**The person completing this proof of claim must sign and date it. FRBP 9011(b).**

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

**A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.**

*Check the appropriate box:*

- ☐ I am the creditor.
- ☐ I am the creditor's attorney or authorized agent.
- ☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- ☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date \_\_\_\_\_  
MM / DD / YYYY

\_\_\_\_\_  
Signature

**Print the name of the person who is completing and signing this claim:**

Name	_____		
	First name	Middle name	Last name
Title	_____		
Company	_____		
	Identify the corporate servicer as the company if the authorized agent is a servicer.		
Address	_____		
	Number	Street	
	_____		_____
	City	State	ZIP Code
Contact phone	_____	Email	_____

# Instructions for Proof of Claim

United States Bankruptcy Court

12/15

These instructions and definitions generally explain the law. In certain circumstances, such as bankruptcy cases that debtors do not file voluntarily, exceptions to these general rules may apply. You should consider obtaining the advice of an attorney, especially if you are unfamiliar with the bankruptcy process and privacy regulations.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both.  
18 U.S.C. §§ 152, 157 and 3571.

## How to fill out this form

- Fill in all of the information about the claim as of the date the case was filed.
- On the first page of the form, check the box to identify the Debtor against whom you assert a claim. Select only one Debtor per claim form.
- If the claim has been acquired from someone else, then state the identity of the last party who owned the claim or was the holder of the claim and who transferred it to you before the initial claim was filed.
- Attach any supporting documents to this form. Attach redacted copies of any documents that show that the debt exists, a lien secures the debt, or both. (See the definition of *redaction* on the next page.)  
Also attach redacted copies of any documents that show perfection of any security interest or any assignments or transfers of the debt. In addition to the documents, a summary may be added. Federal Rule of Bankruptcy Procedure (called “Bankruptcy Rule”) 3001(c) and (d).
- Attach supporting documentation unless voluminous, in which case a summary must be attached. If documentation is unavailable, provide an explanation as to why documentation is not available.
- Do not attach original documents because attachments may be destroyed after scanning.
- If the claim is based on delivering health care goods or services, do not disclose confidential health care information. Leave out or redact confidential information both in the claim and in the attached documents.
- A Proof of Claim form and any attached documents must show only the last 4 digits of any social security number, individual’s tax identification number, or financial account number, and only the year of any person’s date of birth. See Bankruptcy Rule 9037.
- For a minor child, fill in only the child’s initials and the full name and address of the child’s parent or guardian. For example, write *A.B., a minor child (John Doe, parent, 123 Main St., City, State)*. See Bankruptcy Rule 9037.
- Any proof of claim asserting a 503(b)(9) Claim must also: (i) include the value of the goods delivered to and received by the Debtors in the 20 days prior to the Petition Date; (ii) attach any documentation identifying the particular invoices for which the 503(b)(9) Claim is being asserted; (iii) state whether the amount asserted represents a combination of goods and services and, if applicable, the portion that relates solely to the value of the goods; and (iv) set forth whether any portion of the 503(b)(9) Claim was satisfied by payments made by the Debtors pursuant to any order of the Court authorizing the Debtors to pay prepetition claims.

## Confirmation that the claim has been filed

To receive confirmation that the claim has been filed, either enclose a stamped self-addressed envelope and a copy of this form. You may view a list of filed claims in this case by visiting the Claims and Noticing Agent’s website at <https://restructuring.ra.kroll.com/FTX>.

## Understand the terms used in this form

**Administrative expense:** Generally, an expense that arises after a bankruptcy case is filed in connection with operating, liquidating, or distributing the bankruptcy estate.  
11 U.S.C. § 503.

**Claim:** A creditor’s right to receive payment for a debt that the debtor owed on the date the debtor filed for bankruptcy. 11 U.S.C. §101 (5). A claim may be secured or unsecured.

**Claim Pursuant to 11 U.S.C. § 503(b)(9):** A claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of the Debtor’s business.

**Creditor:** A person, corporation, or other entity to whom a debtor owes a debt that was incurred on or before the date the debtor filed for bankruptcy. 11 U.S.C. § 101 (10).

**Debtor:** A person, corporation, or other entity who is in bankruptcy. Use the debtor's name and case number as shown in the bankruptcy notice you received. 11 U.S.C. § 101 (13).

**Evidence of perfection:** Evidence of perfection of a security interest may include documents showing that a security interest has been filed or recorded, such as a mortgage, lien, certificate of title, or financing statement.

**Information that is entitled to privacy:** A *Proof of Claim* form and any attached documents must show only the last 4 digits of any social security number, an individual's tax identification number, or a financial account number, only the initials of a minor's name, and only the year of any person's date of birth. If a claim is based on delivering health care goods or services, limit the disclosure of the goods or services to avoid embarrassment or disclosure of confidential health care information. You may later be required to give more information if the trustee or someone else in interest objects to the claim.

**Priority claim:** A claim within a category of unsecured claims that is entitled to priority under 11 U.S.C. § 507(a). These claims are paid from the available money or property in a bankruptcy case before other unsecured claims are paid. Common priority unsecured claims include alimony, child support, taxes, and certain unpaid wages.

**Proof of claim:** A form that shows the amount of debt the debtor owed to a creditor on the date of the bankruptcy filing. The form must be filed in the district where the case is pending.

**Redaction of information:** Masking, editing out, or deleting certain information to protect privacy. Filers must redact or leave out information entitled to **privacy** on the *Proof of Claim* form and any attached documents.

**Secured claim under 11 U.S.C. § 506(a):** A claim backed by a lien on particular property of the debtor. A claim is secured to the extent that a creditor has the right to be paid from the property before other creditors are paid. The amount of a secured claim usually cannot be more than the value of the particular property on which the creditor has a lien. Any amount owed to a creditor that is more than the value of the property normally may be an unsecured claim. But exceptions exist; for example, see 11 U.S.C. § 1322(b) and the final sentence of § 1325(a).

Examples of liens on property include a mortgage on real estate or a security interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court judgment may be a lien.

**Setoff:** Occurs when a creditor pays itself with money belonging to the debtor that it is holding, or by canceling a debt it owes to the debtor.

**Unsecured claim:** A claim that does not meet the requirements of a secured claim. A claim may be unsecured in part to the extent that the amount of the claim is more than the value of the property on which a creditor has a lien.

### Offers to purchase a claim

Certain entities purchase claims for an amount that is less than the face value of the claims. These entities may contact creditors offering to purchase their claims. Some written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court, the bankruptcy trustee, or the debtor. A creditor has no obligation to sell its claim. However, if a creditor decides to sell its claim, any transfer of that claim is subject to Bankruptcy Rule 3001(e), any provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.) that apply, and any orders of the bankruptcy court that apply.

### Please send completed Proof(s) of Claim to:

If by first class mail:

FTX Trading Ltd. Claims Processing Center  
c/o Kroll Restructuring Administration LLC  
Grand Central Station, PO Box 4850  
New York, NY 10163-4850

If by overnight courier or hand delivery:

FTX Trading Ltd. Claims Processing Center  
c/o Kroll Restructuring Administration LLC  
850 Third Avenue, Suite 412  
Brooklyn, NY 11232

You may also file your claim electronically at  
<https://restructuring.ra.kroll.com/FTX/EPOC-Index>

**Do not file these instructions with your form**



**PLEASE SEND COMPLETED PROOF(S) OF CLAIM SO AS TO BE ACTUALLY RECEIVED ON OR BEFORE THE APPLICABLE BAR DATE:**

**General Non-Customer Bar Date (including claims pursuant to section 503(b)(9) of the bankruptcy code): June 30, 2023 at 4:00 p.m., Eastern Time**

**Proof of Interest Bar Date: June 30, 2023 at 4:00 p.m., Eastern Time**

**Governmental Bar Date: September 29, 2023 at 4:00 p.m., Eastern Time**

**IF DELIVERED BY FIRST-CLASS MAIL:**

FTX Trading Ltd. Claims Processing Center  
c/o Kroll Restructuring Administration  
LLC Grand Central Station, PO Box 4850  
New York, NY 10163-4850

**IF DELIVERED BY HAND OR OVERNIGHT DELIVERY:**

FTX Trading Ltd. Claims Processing Center  
c/o Kroll Restructuring Administration LLC  
850 3rd Avenue, Suite 412  
Brooklyn, NY 11232

**If you have any questions about this notice, please call (888) 482-0049 (US/Canada toll free) or +1 (646) 440-4176 (International), email [FTXInfo@ra.kroll.com](mailto:FTXInfo@ra.kroll.com) or visit <https://restructuring.ra.kroll.com/ftx/>.**

**You may also file your claim electronically at <https://restructuring.ra.kroll.com/ftx/EPOC-Index>.**

**Exhibit B**



## Exhibit B

Supplemental Vendor Notice Parties Service List  
Served via First Class Mail

ADDRESSID	NAME	ADDRESS 1	ADDRESS 2	ADDRESS 3	CITY	STATE	POSTAL CODE	COUNTRY
17692270	ALEC ZIUPSNYS	ADDRESS ON FILE						
17692419	ALYX WHIDDEN	ADDRESS ON FILE						
17692522	ANCHORAGE DIGITAL BANK, N.A.	101 S REID ST	STE 307		SIOUX FALLS	SD	57103-7045	
17692998	BAM TRADING SERVICES INC	252 NW 29TH ST	STE 900		MIAMI	FL	33127-3904	
17693115	BENJAMIN YUXIAO XIE	ADDRESS ON FILE						
17693458	BRAVE SOFTWARE INC	580 HOWARD ST	UNIT 402		SAN FRANCISCO	CA	94105-3025	
17693638	CADE YORK	3003 SPRING LAKE CT			LEWISVILLE	TX	75077-3201	
17694474	DANI WITTE - POWER MARKETING	ADDRESS ON FILE						
17695011	ECHO MARKETING, LLC	2500 9TH ST	STE 201		BERKELEY	CA	94710-2554	
17695701	GB SOLUTIONS LLC	ATTN GEOFF BOUGH	112 FORRESTER CT		LOS GATOS	CA	95032-6530	
17695756	GEOFFREY BOUGH	ADDRESS ON FILE						
17696522	INCA DIGITAL, INC.	16192 COASTAL HWY			LEWES	DE	19958-3608	
17696851	JENSEN AGENCY INC.	6805 S MINNESOTA AVE	STE 200		SIOUX FALLS	SD	57108-2527	
17696936	JOHN CONBERE	ADDRESS ON FILE						
17697219	KENNETH P. FELDMAN	ADDRESS ON FILE						
17697691	LILY SAYERS CHARTWELL COMPLIANCE	615 BRENNAN CIR			ERIE	CO	80516-7051	
17698499	MOON POD	651 HEIL QUAKER AVE	STE C		LEWISBURG	TN	37091-2184	
17698991	NIFTY METAVERSE INC.	PMB 47051	228 PARK AVE S		NEW YORK	NY	10003-1502	
17699100	NORWOOD CHARLES THORNTON III	6114 PENNSYLVANIA AVE # B			NASHVILLE	TN	37209-1340	
17699529	PAUL FOREST	ADDRESS ON FILE						
17699721	PHUNWARE, INC.	1002 WEST VE FL 1			AUSTIN	TX	78701-2035	
17699824	POINT UP INC.	333 BUSH ST	FL 4		SAN FRANCISCO	CA	94104-2854	
17700040	RAILSBANK TECHNOLOGY LTD.	1 SNOWDEN STREET			LONDON		EC2A 2DQ	UNITED KINGDOM
17700048	RAINTANK INC	3141 IRVING ST			SAN FRANCISCO	CA	94122-1427	
17700084	RAY BLOCH PRODUCTIONS INC	11 PARKER DR			MAHOPAC	NY	10541-2058	
17700318	RODMAN LAW GROUP, LLC	ATTENTION: DAVID RODMAN, ESQ.	600 S. CHERRY ST., STE. 314		DENVER	CO	80246-1705	
17700443	SAMANTHA CHEN - SC MEDIA LLC	975 BRYANT ST APT 508			SAN FRANCISCO	CA	94103-4591	
17700535	SC MEDIA LLC	975 BRYANT ST	APT 508		SAN FRANCISCO	CA	94103-4591	
17700756	SHOPIFY	9450 SW GEMINI DR			BEAVERTON	OR	97008-7105	
17700958	SOLANA LABS, INC.	530 DIVISADERO ST			SAN FRANCISCO	CA	94117-2213	
17701604	THOMAS BRANDON WALKER	ADDRESS ON FILE						
17702486	WAVE MOBILE MONEY HOLDINGS INC.	3 GERMAY DR	STE 4		WILMINGTON	DE	19804-1127	
17702515	WEISS SEROTA HELFMAN COLE & BIERMAN, P.L.	2800 PONCE DE LEON BLVD	STE 1200		CORAL GABLES	FL	33134-6919	
17702519	WEISS SEROTA HELFRNAN COIE & BIERMAN P.L.	ATTN: RENA P. WELCH	2255 GLADES RD	STE 200E	BOCA RATON	FL	33431-8571	

**Exhibit C**

July 28, 2023

MEMORANDUM TO: All Employees and Contractors of Vendors, Agents, and/or  
Contractual Counterparties of the FTX Group

FROM: The FTX Debtors

RE: Legal Hold Notice of Preservation of Records

In connection with the ongoing government and regulatory investigations, the pending bankruptcy proceedings in the United States Bankruptcy Court in Delaware, and various other litigations and inquiries related to FTX Trading Ltd., FTX US, Alameda Research, and their various subsidiaries and affiliates (together “the FTX Group”), all current and former vendors, agents, contractual counterparties and their employees and contractors are instructed to preserve all documents, communications and other records related to or involving your work with the FTX Group, including those stored on electronic mediums.

**Your obligations under this notice extend to all forms of information and records generated in the course of your business for the FTX Group, including but not limited to:**

- Hard copy records;
- E-mail, instant messages, chats, Slack, Telegram, Signal, WhatsApp, WeChat, QQ, voice mail messages and SMS or other messages on any messaging apps/services related to your work on your business or personal mobile phone, device, laptop, desktop computer, external drive, shared or personal folders, electronic archives, any cloud-based platform (such as Google Drive, Slack or Amazon Web Services), removable or portable electronic storage media, or any other electronic format;
- Word processing documents, notes, reports, records of video-conferences/telephone conversations, calendar or diary entries, spreadsheets, presentations, PDFs, faxes, images or other electronic material related to your work stored on your business or personal mobile phone, device, laptop, desktop computer, external drive, shared or personal folders, electronic archives, any cloud-based platform (such as Google Drive, Slack or Amazon Web Services), removable or portable electronic storage media, or any other electronic format;
- Website materials (including disclosures and terms of service), blog materials, social media posts and communications (including Twitter, Facebook, Instagram and LinkedIn);
- Know Your Customer records, login session or access logs, IP address/geolocation records, transactional records, communications with customers, including via e-mail or customer service platforms, and customer activity reports;

- Databases (both structured and unstructured), computer code and algorithms;
- All originals and drafts of relevant records;
- Copies of documents that are not identical duplicates of the originals (*e.g.*, with highlighting, handwritten comments or margin notations); and
- All historical and current versions of records.

This list is not all-inclusive and is intended to provide you with general guidelines regarding the possible forms and locations of potential relevant materials. You are instructed to preserve, and not delete, destroy, modify or alter, any and all electronic or hard copy records that relate in any way to the above topics and time periods on whatever medium they exist.

You must change retention settings on any personal mobile devices, laptops, desktop computers or external drives used for business purposes to ensure that all work-related records are preserved. If you are aware of any retention or deletion setting that you are unable to change or are unsure what retention policies currently apply to relevant records, please let us know immediately.

If you have any questions regarding whether certain materials should be preserved, err on the side of caution and preserve the information. To reiterate, this obligation extends to any relevant materials, wherever they are located, including on personal or other non-company devices or servers.

Thank you for your cooperation.